

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Steven E. Field, et al.
For: SELF-CONTAINED, SELF-PIERCING, SIDE-EXPELLING MARKING APPARATUS
Application No.: 10/710,587
Filed: July 22, 2004
Atty. Docket: 71202-0044

CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8(a))	
<small>I hereby certify that this correspondence is, on the date shown below, being:</small>	
<small><input type="checkbox"/> deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to the Commissioner for Patents, PO Box 1450, Alexandria, VA, 22313-1450</small>	<small><input checked="" type="checkbox"/> transmitted via the EFS Web Filing System</small>
<small>_____ /Marisa L. Matthews/ Signature</small>	
<small>Date: <u>June 7, 2007</u></small>	<small>_____ Marisa L. Matthews (type or print name of person certifying)</small>

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

REQUEST TO CORRECT INVENTORSHIP UNDER 37 C.F.R. § 1.48(a)

Please amend the inventorship for the above-identified patent to add the following inventors:

Richard M. Chesbrough, 7180 Parkhurst Drive, Bloomfield Hills,
Michigan 48301

Attached are the following documents:

- The statements required under 37 C.F.R. 1.48(a)(2) from each person being added as inventor.
- A Declaration of all the inventors as required by 37 C.F.R. § 1.48(a)(3), in two counterparts.
- The statement required under 37 C.F.R. 1.48(a)(5) from the assignee of the patent.

The Commissioner is hereby authorized to charge the following indicated fees and credit any over payments to Deposit Account No. 50-2003:

- ☒ The required fee under 37 C.F.R. § 1.17(i) of \$130.00.
- ☒ Any Additional Fee Required Under 37 CFR 1.16 and 1.17.

Respectfully submitted,

Steven E. Field, et al.

Dated: June 7, 2007

By: /Mark A. Davis/
Mark A. Davis, Reg. No. 37,118
MCGARRY BAIR PC
32 Market Avenue, SW, Suite 500
Grand Rapids, Michigan 49503
616-742-3500

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Steven E. Field, et al.
For: SELF-CONTAINED, SELF-PIERCING, SIDE-EPELLING MARKING APPARATUS
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☒ transmitted via the EFS Web Filing System

/Marisa L. Matthews/
Signature

Date: June 6, 2007

Marisa L. Matthews
(type or print name of person certifying)

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

STATEMENT UNDER 37 C.F.R. 1.48(A)(2)

I, Richard M. Chesbrough, residing at 7180 Parkhurst Drive, Bloomfield Hills, Michigan 48301, am an actual inventor of the above-identified patent application. My exclusion as an inventor of the patent occurred through error without deceptive intention on my part.

Respectfully submitted,

Date: 6/5/07


Richard M. Chesbrough

Please type a plus sign in this box: -

PTO/SB/01 (3-97)

Approved for use through 6/30/98. OMB 0651-0032
Patent and Trademark Office: US DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION		Attorney Docket No.	71202-0044	
		First Named Inventor	Steven E. Field	
		COMPLETE IF KNOWN		
		Application No.	10/710,587	
		Filing Date	July 22, 2004	
<input type="checkbox"/> Declaration submitted with or initial filing	<input checked="" type="checkbox"/> Declaration submitted after initial filing	Group Art Unit	3763	
		Examiner Name		

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (only if one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

SELF-CONTAINED, SELF-PIERCING, SIDE-EXPELLING MARKING APPARATUS
(Title of the Invention)

the specification of which
☐ is attached hereto
or
☒ was filed on July 22, 2004, as United States Application Number or PCT International Application Number: 10/710,587 and was amended on (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119 (a)-(d) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YY)	Priority Not Claimed	Certified Copy Attached	
				YES	NO
				<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

Application Number (s)	Filing Date (MM/DD/YY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

Please type a plus sign in this box:



PTO/SB/01 (3-97)

Approved for use through 6/30/98, OMB 0651-0032

Patent and Trademark Office: US DEPARTMENT OF COMMERCE

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DECLARATION - Utility Or Design Patent Application

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the matter provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: ☒ Customer Number 20915

Or

☐ Registered practitioner(s) name/registration number listed below

Place Customer
Number Bar Code
Label Here

Name	Registration No.	Name	Registration No.
John E. McGarry	22,360	G. Thomas Williams	42,228
Joel E. Bair	33,356	Michael R. Kelly	50,859
Mark A. Davis	37,118		

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to ☒ Customer Number 20915 or ☐ Correspondence Address below

Name	Mark A. Davis, Reg. No. 37,118 McGarry Bair PC		
Address	32 Market Avenue SW, Suite 500		
City, State, Zip	Grand Rapids, Michigan 49503		
Country	US	Telephone	616-742-3500
		Fax	616-742-1010

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor ☐ A petition has been filed for this unsigned inventor.

Given Name (first and middle [if any])	Family Name or Surname
Steven E.	Field

Inventor's Signature					Dated		
Residence: City	Grand Rapids	State	MI	Country	USA	Citizenship	US
Post Office Address	3373 Brookpoint Dr. SE						
City	Grand Rapids	State	MI	Zip	49546	Country	USA

☒ Additional inventors are being named on the one supplemental additional inventor(s) sheet(s) PTO/SB/02A attached hereto.

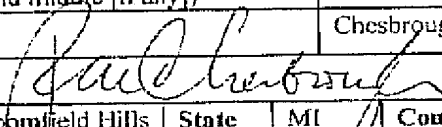
Please type a plus sign in this box:



PTO/SB/02A (3-97)

Approved for use through 6/30/98. OMB 0651-0032
Patent and Trademark Office; US DEPARTMENT OF COMMERCE

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Name of Inventor		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])				Family Name or Surname			
Ryan L.				Goosen			
Inventor's Signature						Dated	
Residence: City	Coopersville	State	MI	Country	USA	Citizenship	US
Post Office Address		17564 Egan Drive					
City	Coopersville	State	MI	Zip	49404	Country	USA
Name of Inventor		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])				Family Name or Surname			
Brian R.				Mulder			
Inventor's Signature						Dated	
Residence: City	Rockford	State	MI	Country	USA	Citizenship	US
Post Office Address		4070 Summit Ct.					
City	Rockford	State	MI	Zip	49341	Country	USA
Name of Inventor		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])				Family Name or Surname			
Richard M.				Chesbrough			
Inventor's Signature						Dated 6/5/07	
Residence: City	Bloomfield Hills	State	MI	Country	USA	Citizenship	US
Post Office Address		7180 Parkhurst Drive					
City	Bloomfield Hills	State	MI	Zip	48301	Country	USA

Please type a plus sign in this box:

+

PTO/SB/01 (3-97)

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DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION	Attorney Docket No.	71202-0044	
	First Named Inventor	Steven E. Field	
	COMPLETE IF KNOWN		
	Application No.	10/710,587	
	Filing Date	July 22, 2004	
	Group Art Unit	3763	
<input type="checkbox"/> Declaration submitted with or initial filing	<input checked="" type="checkbox"/> Declaration submitted after initial filing	Examiner Name	

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (only if one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

SELF-CONTAINED, SELF-PIERCING, SIDE-EXPPELLING MARKING APPARATUS

(Title of the Invention)

the specification of which

☐ is attached hereto

or

☒ was filed on July 22, 2004, as United States Application Number or PCT International Application Number: 10/710,587 and was amended on (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

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I hereby claim foreign priority benefits under Title 35, United States Code § 119 (a)-(d) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YY)	Priority Not Claimed	Certified Copy Attached	
				YES	NO
				<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

Application Number (s)	Filing Date (MM/DD/YY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

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DECLARATION - Utility Or Design Patent Application

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the matter provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

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As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: ☒ Customer Number 20915

Or

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Place Customer
Number Bar Code
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Name	Registration No.	Name	Registration No.
John E. McGarry	22,360	G. Thomas Williams	42,228
Joel E. Bair	33,356	Michael F. Kelly	50,859
Mark A. Davis	37,118		

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Direct all correspondence to ☒ Customer Number 20915 or ☐ Correspondence Address below

Name	Mark A. Davis, Reg. No. 37,118 McGarry Bair PC		
Address	32 Market Avenue SW, Suite 500		
City, State, Zip	Grand Rapids, Michigan 49503		
Country	US	Telephone	616-742-3500
		Fax	616-742-1010

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor ☐ A petition has been filed for this unsigned inventor.

Given Name (first and middle [if any]) Steven E. Field Family Name or Surname

Inventor's Signature *Steven E. Bair* Dated June 6, 2007

Residence: City Grand Rapids State MI Country USA Citizenship US

Post Office Address 3373 Brookpoint Dr. SE

City Grand Rapids State MI Zip 49546 Country USA

☒ Additional inventors are being named on the one supplemental additional inventor(s) sheet(s) PTO/SB/02A attached hereto.

Please type a plus sign in this box:

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Name of Inventor		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])				Family Name or Surname			
Ryan L.				Goosen			
Inventor's Signature	<i>Ryan L Goosen</i>					Dated 6/6/07	
Residence: City	Coopersville	State	MI	Country	USA	Citizenship	US
Post Office Address	17564 Egan Drive						
City	Coopersville	State	MI	Zip	49404	Country	USA
Name of Inventor		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])				Family Name or Surname			
Brian R.				Mulder			
Inventor's Signature	<i>Brian R. Mulder</i>					Dated 6/6/07	
Residence: City	Rockford	State	MI	Country	USA	Citizenship	US
Post Office Address	4070 Summit Ct.						
City	Rockford	State	MI	Zip	49341	Country	USA
Name of Inventor		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])				Family Name or Surname			
Richard M.				Chesbrough			
Inventor's Signature						Dated	
Residence: City	Bloomfield Hills	State	MI	Country	USA	Citizenship	US
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Date: June 6, 2007	Signature: <u>Marisa L. Matthews</u> Marisa L. Matthews <small>(type or print name of person certifying)</small>

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450


STATEMENT UNDER 37 C.F.R. 1.48(A)(5)

Inrad, Inc., located at 4375 Donker Ct., S.E., Grand Rapids, Michigan 49512, is the assignee of the above-identified patent application. A statement under 37 C.F.R. 3.73(b) establishing Inrad, Inc. as the assignee is attached hereto.

Inrad, Inc. agrees to the change of inventorship to add Richard M. Chesbrough as an inventor to the above-identified patent application.

Respectfully submitted,

Date: June 6 2007


Steven E. Field
President, Inrad, Inc.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Inrad, Inc.

Application No./Patent No.: 10/710,587 Filed/Issue Date: July 22, 2004

Entitled: Self-Contained, Self-Piercing, Side-Expelling Marking Apparatus

Inrad, Inc. a Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 015374, Frame 0667, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Steven E. Field
Signature
STEVEN E. FIELD
Printed or Typed Name
PRESIDENT
Title

JUNE 6, 2007
Date
(616) 336-301-7800
Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.